

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HEALTHCARE ASSN OF NEW YORK STATE

2. Address:

1 EMPIRE DR, RENSSELAER, NY 12144

3. Principal place of business (if different from line 2):

4. Contact Name: STEVEN KROLL

Telephone: 518-431-7600

E-mail (optional): SKROLL@HANYS.ORG

Senate ID #: 17900-12

House ID #: 31271000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 400,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

Fiscal Year 2002 Budget Resolution H.R.1556,S.839 American Hospital Preservation Act of 2001 H.R.1609,S.885 Area Wage and Base Payment Improvement Act H.R.1354,S.548 Assure access to Mammography Act H.R.1436,S.706 Nurse Reinvestment Act H.R.1897 Nurse of Tomorrow Act S.705 Health Information Technology and Quality Improvement Act H.R.1143,S.582 Immigrant Childrens' Health Improvement Act of 2001 H.R.287 Prompt Payment of Health Benefits Claims Act of 2001 H.R.975,S.326 Home Health Payment Fairness Act S.135 Direct Graduate Medical Education Improvement Act S.721 Nurse Employment and Education Development Act H.R.519,H.R.823,S.169 Local Medical Emergency Reimbursement H.R.1331,S.683 Fair Care for the Uninsured Act H.R.584,S.572 Medicaid Safety Net Hospital Continued Preservation Act Nursing Immigration HCFA Prospective Payment System regulations for 2002 reimbursement for hospital inpatient, skilled nursing facilities, home health, and inpatient rehabilitation facility patient assessment. HCFA Advanced Beneficiary Notice for Medicare Beneficiaries regulation OSHA Ergonomics Regulation FDA Policy on single-use medical devices Workforce Issues Regulatory Flexibility Increased funding for breast and prostate cancer

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Executive Office of the President
Food & Drug Administration (FDA)
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Occupational Safety & Health Administration (OSHA)
Office of Management & Budget (OMB)
Office of the Vice President of the United States
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BILBY, JAMES
Covered Official Position (if applicable): N/A
Name: CALLAN, MARK
Covered Official Position (if applicable): N/A
Name: CASSESE, SANDRA
Covered Official Position (if applicable): N/A
Name: CHANG, JU MING
Covered Official Position (if applicable): N/A
Name: CICCONE, KATHLEEN
Covered Official Position (if applicable): N/A
Name: CUNNINGHAM, JOANNE
Covered Official Position (if applicable): N/A
Name: HARWELL, STEVEN
Covered Official Position (if applicable): N/A
Name: KROLL, STEVEN
Covered Official Position (if applicable): N/A
Name: LEBARRON, DEBBIE
Covered Official Position (if applicable): N/A
Name: MAKOFKSKE, HERBERT
Covered Official Position (if applicable): N/A
Name: MCBREEN, PATRICIA
Covered Official Position (if applicable): N/A
Name: MCGILL, EDWARD
Covered Official Position (if applicable): N/A
Name: PIKE, WILLIAM
Covered Official Position (if applicable): N/A
Name: PRICE, KELLY
Covered Official Position (if applicable): N/A
Name: RICHER, JESSICA
Covered Official Position (if applicable): N/A
Name: SISTO, DANIEL
Covered Official Position (if applicable): N/A

Registrant Name: HEALTHCARE ASSN OF NEW YORK STATE Client Name: Self

Name: SOMMER, FRANCESCA

Covered Official Position (if applicable): N/A

Name: SWEENEY, RAYMOND

Covered Official Position (if applicable): N/A

Name: THOMAS, MARK

Covered Official Position (if applicable): N/A

Name: VAN METER, SUSAN

Covered Official Position (if applicable): N/A

Name: WAFER, SHELBY

Covered Official Position (if applicable): N/A

Name: WAGNER, SUE ELLEN

Covered Official Position (if applicable): N/A

Name: ZELIZER, NORA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2001

Printed Name and Title: STEVEN KROLL - VICE PRESIDENT, GOVERNMENTAL AFFAIRS

Information Update Page:

Complete **ONLY** where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: BIELAUS, SUSAN
Name: BIELAUS, SUSAN
Name: HOFFMAN, JERRY
Name: HOFFMAN, JERRY
Name: HUMISTON, SHEILA
Name: HUMISTON, SHEILA
Name: JEFFERS, DARRELL
Name: JEFFERS, DARRELL
Name: NAYAK, VIJAY
Name: NAYAK, VIJAY
Name: POFIT, MARTHA
Name: POFIT, MARTHA
Name: STORRS, FRANCESCA
Name: STORRS, FRANCESCA
Name: WARNER, ROBERTA
Name: WARNER, ROBERTA

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2001

Printed Name and Title: -

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13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

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\$10,000 or more: ☒ => Expenses (nearest \$20,000): 320,000.00

14. Reporting Method.

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