

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

04 AUG 19 PM 3: 50

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name <b>The OB-C Group, LLC</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1350 Eye Street, NW</b> Suite <b>690</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b> <b>USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Celeste Dembowski</b>	Telephone <b>202-898-4746</b>	E-mail (optional) <b>cdembowski@ob-cgroup.com</b>	5. Senate ID # <b>29802-241</b>
7. Client Name <input type="checkbox"/> Self <b>Securities Industry Association</b>			6. House ID # <b>30108015</b>

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  **OR** Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobby

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$120,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$2)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definit
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603. the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162. Internal Revenue Code

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Celeste Dembowski - Office Administrator** \_\_\_\_\_ Pa

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

**H.R.522 , Federal Deposit Insurance Reform Act of 2003, entire bill.**

**S.229 , Safe and Fair Deposit Insurance Act of 2003, entire bill.**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Johnson, Michael S.</b>	
<b>Keating, Thomas J.</b>	
<b>Marsh, Robert H.</b>	<b>Special Asst to the President for Leg. Affairs</b>
<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 01/10/2007

Printed Name and Title Celeste Dembowski - Office Administrator Page

Registrant Name: **The OB-C Group, LLC**

Client Name: **Securities Industry Association**

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code BNK (one per page)
- 16. Specific Lobbying issues  
**H.R.975 , Bankruptcy Abuse Prevention and Consumer Protection Act of 2003, entire bill.**  
**S.832 , Corporate Accountability in Bankruptcy Act, entire bill.**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Johnson, Michael S.</b>	
<b>Keating, Thomas J.</b>	
<b>Marsh, Robert H.</b>	<b>Special Asst to the President for Leg. Affairs</b>
<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 07/10/2007

Printed Name and Title Celeste Dembowski - Office Administrator Pg

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

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15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**H.R.2179 , Securities Fraud Deterrence and Investor Restitution Act of 2003, entire bill.**

**H.R.2420 , Mutual Funds Integrity and Fee Transparency Act of 2003, entire bill.**

17. House(s) of Congress and Federal agencies contacted

Check if None

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Johnson, Michael S.</b>	
<b>Keating, Thomas J.</b>	
<b>Marsh, Robert H.</b>	<b>Special Asst to the President for Leg. Affairs</b>
<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 07/10/2009

Printed Name and Title Celeste Dembowski - Office Administrator Pa

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GAM (one per page)

16. Specific Lobbying issues

**H.R.2579, Internet Gambling Payments Prohibition Act, monitoring the legislative process on internet gamb**

**H.R.556, Unlawful Internet Gambling Funding Prohibition Act, monitoring the legislative process on interne**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Johnson, Michael S.</b>	
<b>Keating, Thomas J.</b>	
<b>Marsh, Robert H.</b>	<b>Special Asst to the President for Leg. Affairs</b>
<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 07/10/2007

Printed Name and Title Celeste Dembowski - Office Administrator Page

Registrant Name: The OB-C Group, LLCClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

**H.R.1000 , Pension Security Act of 2003, entire bill.**

**H.R.1776 , Pension Preservation and Savings Expansion Act of 2003, entire bill.**

**S.95, A bill to make permanent the pension and individual retirement arrangement provisions of the Econom and Tax Relief Reconciliation Act of 2001, entire bill.**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Johnson, Michael S.</b>	
<b>Keating, Thomas J.</b>	
<b>Marsh, Robert H.</b>	<b>Special Asst to the President for Leg. Affairs</b>
<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 01/10/2007

Printed Name and Title Celeste Dembowski - Office Administrator Page

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)
16. Specific Lobbying issues  
**H.R.1556 , Corporate Accountability Tax Gap Act of 2003, entire bill.**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

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<b>Mellody, Charles J.</b>	
<b>Nelson, Patricia A.</b>	
<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 01/10/2004

Printed Name and Title Celeste Dembowski - Office Administrator Pa

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

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15. General issue area code TEC (one per page)

16. Specific Lobbying issues

**H.R.2214 , Reduction in Distribution of Spam Act of 2003, anti-spam legislation.**

**H.R.2515 , Anti-Spam Act of 2003, anti-spam legislation.**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**


Check if None

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<b>O'Brien, III, Lawrence F.</b>	
<b>Ruhlen, Mary Ellen</b>	

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Check if None

Signature  Date 07/10/2004

Printed Name and Title Celeste Dembowski - Office Administrator Page

Registrant Name: **The OB-C Group, LLC**Client Name: **Securities Industry Association**

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	
18c	New Lobbyist	No

