

Clerk of the House of Representatives
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Washington, DC 20515

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Office of Public Records
232 Hart Building
Washington, DC 20510

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Alston & Bird LLP			
2. Address <input type="checkbox"/> Check if different than previously reported 601 Pennsylvania Ave., NW, 10th Floor, Washington, D.C. 20004			
3. Principal Place of Business (if different from line 2) City: Atlanta State/Zip (or Country) GA/30309-3424			
4. Contact Name Jonathan M. Winer	Telephone (202) 756-3342	E-mail (optional) jwiner@alston.com	5. Senate ID # 1182
7. Client Name <input type="checkbox"/> Self UBS AG Stamford Branch			6. House ID # 3174

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>100,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of:</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature JM Winer

Printed Name and Title

Jonathan M. Winer, Partner

LD-2 (REV. 6/98)

Registrant Name Alston & Bird LLP Client Name UBS AG Stamford Branch

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Financial Regulation pertaining to OFAC.

17. House(s) of Congress and Federal agencies contacted

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Thomas M. Boyd	
Thomas E. Crocker	
Jonathan M. Winer	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

UBS A, identified on LD-1, is the parent of UBS - AG Stamford and has an interest in securing favorable financial regulation specified herein.

Signature



Date

2/4/2007

Printed Name and Title Jonathan M. Winer, Partner

Form: LD-2 (Rev. 6/98)

Page