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SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name O'Rourke, John T.			
2. Address <input type="checkbox"/> Check if different than previously reported 801 Pennsylvania Avenue, N.W. Fifth Floor			
3. Principal Place of Business (if different from line 2) Washington D.C. 20004 City: State/zip (or Country)			
4. Contact Name John T. O'Rourke	Telephone (202) 662-4720	E-mail (optional) yorkjack@aol.com	5. Senate ID # 29937-75
7. Client Name <input type="checkbox"/> Self Securities Industry Assn.			6. House ID # 33165005

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-Dec
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>70,000.00</u> <small>Income (nearest \$20,000)</small>	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Expenses (nearest \$20,000)</small>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate reporting method. See instructions for description of <ul style="list-style-type: none"> <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(c) Internal Revenue Code

Signature *JTO* Date 2/14/14
Printed Name and Title John T. O'Rourke

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00000462260

Registrant Name O'Rourke, John T. Client Name Securities Industry Assn.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Issues related to the regulation of the securities industry.

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lazarus, Maggi A.	N/A
O'Rourke, John T.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/14/14

Printed Name and Title John T. O'Rourke

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Registrant Name John T. O'Rourke Client Name Securities Industry Assn

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Issues relating to the privacy of financial information.

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lazarus, Maggi A.	N/A
O'Rourke, John T.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *John T O'Rourke* Date 2/14/4

Printed Name and Title ✓ John T. O'Rourke

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