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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Bankers Association Securities Association			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, N.W.			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Sarah A. Miller	(202) 663-5325	Smiller@aba.com	44-
7. Client Name <input type="checkbox"/> Self Sarah A. Miller			6. House ID # 304

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ <u>74,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description:</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 163 Internal Revenue Code</p>
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Signature: 

Printed Name and Title

Sarah A. Miller, General Counsel

Registrant Name an Bankers Association Securities Assc Client Name Sarah A. Miller

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

See Attached

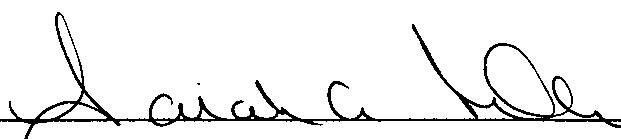
17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives, Senate, Board of Governors of the Federal Reserve System, Office of the Comptroller of Currency, Federal Deposit Insurance Corporation, Securities and Exchange Commission, Office of Thrift Supervision, Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
James D. McLaughlin	
Sarah A. Miller	
J. Kevin A. McKechnie	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/08/02
Printed Name and Title Sarah A. Miller, General Counsel

Registrant: **American Bankers Association Securities Association**

Attachment to Page 2, LD-2 Report: Midyear (January 1 – June 30, 2002)

FIN general issue area code

16. Specific lobbying issues

Legislation:

Pub L. No 106-102	Implementation of Gramm-Leach-Bliley Financial Modernization Act, Title II, V
H.R. 333, S. 420	Bankruptcy Abuse Prevention and Consumer Protection Act of 2001, Title IX
S. 220	Bankruptcy Reform Act of 2001, Title IX
H.R. 11	Financial Contract Netting Improvement Act of 2001
H.R. 3763	Corporate Auditing Accountability, Responsibility and Transparency Act, Sections 1-22
H.R. 3951	Financial Services Regulatory Relief Act of 2002, Sections 201, 501 and 502
H.R. 4678	The Consumer Privacy Protection Act, Titles I, II
S 2673	Public Company Accounting Information and Investor Procedure Act, Title VII

Agencies:

Implementation of Gramm-Leach-Bliley Financial Modernization Act, Pub L. No. 106-102 Titles I, II
 Implementation of USA Patriot Act, Pub L. No. 107-56, Title III

Form LD-2 (1/96)

Registrant Name an Bankers Association Securities Assc Client Name Sarah A. Miller

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code information as requested. Attach additional page(s) as needed.**

15. General issue area code RET (one per page)

16. Specific lobbying issues

See Attached

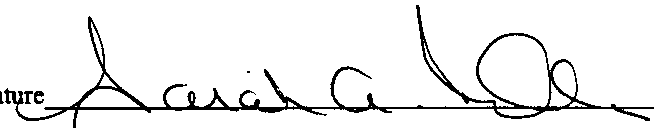
17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives, Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
James D. McLaughlin	
Sarah A. Miller	
J. Kevin A. McKechnie	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/08/02
Printed Name and Title Sarah A. Miller, General Counsel

Registrant: American Bankers Association Securities Association

Attachment to Page 3, LD-2 Report: Midyear (January 1 – June 30, 2002)

RET general issue area code

16. Specific lobbying issues

Legislation:

H.R. 3762	Pension Security Act of 2002, Titles I, II.
H.R. 4931	The Retirement Savings Security Act

Form LD-2 (1/96)

