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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name
Union Pacific Corporation

2. Address ☐ Check if different than previously reported
600 Thirteenth Street, N.W., Suite 340, Washington, D.C. 20005

3. Principal Place of Business (if different from line 2)
City: State/Zip (or Country)

4. Contact Name: Mary E. McAuliffe
Telephone: 202-662-0110
E-mail (optional):

5. Senate ID #: 38995-12

7. Client Name ☒ Self

6. House ID #: 32017000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 1,809,000
Expenses (nearest $20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8)of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature_____

Printed Name and Title_____

LD-2 (REV. 6/98)

PAGE 1 of 10

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues
S.1090 - Boehlert's Superfund Bill (support)
Lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Sean B. O'Hollaren		☐
Mike Rock		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.300/S.326/S.1344/S.6/S.240/HR 448/HR 2095/HR 358 - Patients Bill of Rights (no position)
S.374/S.881/S.573/S.578/HR 1941/HR 2470/HR 1057 - Confidentiality of Health Records (support) - lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues
HR 1001/S.820 - Transportation and Tax Equity Fairness Act (support)
HR 2060 - Railway Safety & Funding Equity Act of 1999 (oppose)
HR 2488 - House/Senate Tax Bill (support) - lobbied to include rail provisions

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev.6/98)

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

HR 1260 - Harbor Investment Program Act (support)
HR 1947 - Harbor Services Fund Act of 1999 (oppose)
S.747 - Surface Transportation Board Reathorization & Improvement Act of 1999 (oppose)
S.98 - Surface Transportation Board Reauthorization Act of 1999 (support)
HR 1667 - Safe & Efficient Transportation Act (oppose)
Surface Transportation Board Appointments
General Issues relating to the transportation of agriculture commodities
General Issues relating to labor contracts and agreements as they relate to merger integration

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (cont) (one per page)

16. Specific lobbying issues

HR 2784 - Railroad Competitions & Service Improvement Act (oppose)
HR 3163 - Surface Transportation Board Reauthorization Act (oppose)
HR 3446 - Surface Transportation Board Reform Act of 1999 (oppose)
S.1590 - Surface Transportation Board Improvement Act of 1999 (oppose)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

HR 45 - Interim Storage of Nuclear Waste (monitored)
S.608 - Nuclear Waste Policy Amendments Act of 1999 (monitored)
S.621 - Railroad Competition & Service Improvement Act of 1999 (oppose)
S.712 - Look, Listen, and Live Stamp Act (support)
S.1235 - Railroad police admittance to the FBI Academy (support)
S.461/S.96/HR 775 - Year 2000 Fairness & Responsibility Act (support)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
Joseph R. Bateman, Jr.		☐
Susan Auther Andres		☐
Katherine W. Maness		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev.6/98)

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (cont) (one per page)

16. Specific lobbying issues

HR 1947 - Harbor Services User Fee (oppose)
HR 1260 - Harbor Investment Program Act (support)
HR 2450 - Railroad Safety Reform Act of 1999 (oppose)
HR 3091 - A bill to amend the hours of service act (oppose)
HR 2681 - Rail Passenger Disaster Family Assistance Act of 1999 (monitor)
HR 2683 - Federal Railroad Safety Enhancement Act of 1999 (oppose)
HR 2910 - National Transportation Safety Board Amendments Act of 1999 (monitor)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
Joseph R. Bateman, Jr.		☐
Susan Auther Andres		☐
Katherine W. Maness		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues
HR 2084/S 1143 - Department of Transportation Appropriations bill (monitor)
Section on FRA - supported earmarking funding for peer training
Labor, HHS, & Education Appropriations bill (no number) - support additional funding for the National Mediation Board

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Sean B. O'Hollaren		☐
Susan Auther Andres		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

General issues to promote press releases and oversight hearings in the House and Senate regarding Teamster violence against Overnite Transportation Company.
General issues regarding oversight of the National Labor Relations Board

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Justice

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary E. McAuliffe		☐
Michael A. Rock		☐
Susan Auther Andres		☐
Mark B. Goodwin		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Mary E. McAuliffe Date 2/14/00

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

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