Clerk of the House of Representatives Logislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

CO AUS 11 PM 2: 19

LOBBYING REPORT

| 2. Address - Chock if different than previously reported | | II a 1511 (-1715) 11715 11715 11775 | |
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| 2. Address - Chock if different than previously regarded | | | |
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| 10.4 m / 10 m / 11 m m m m m m m m m m m m m m m m | | | |
| 426 C Street, NE. Washington, DC 20002 | ************************************** | | |
| 3. Principal Place of Business (if different from line 2) | | | |
| City: St | ate/Zip (or Country) | pennananananan | |
| 4. Contact Name | Teleplanse #3-mail (optional) | 5. Senate 1D # | |
| Debra M. Hardy Havens | 202-544-1880 dh@capitolassociates.com | 8101-315 | |
| 7. Client Name | f · | 6. House ID á | |
| Joint Council of Allergy, Asthma and Immunology | | 30813024 | |
| 0. Check if this is a Termination Report 🗀 😁 Termination | on Date II. No Lobbying Acti | vity 📖 | |
| INCOME OR EXPENSES - Complete Either | Line 12 OR Line 13 | | |
| 12. Lobbying Firms | L3. Organizations | | |
| INCOME relating to lumbying activities for this reporting period was: | EXPENSES relating to lobbying activities for a period were: | this reporting | |
| Less than \$10,000 | E.css than \$10,000 | | |
| SED,000 or more \boxtimes \Rightarrow $\underline{$20,000}$ Income (nearest \$20,000) | \$10,000 or more \$ | | |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all | 14. REPORTING METHOD. Check box to indicate expense accounting method. See fastructions for description of options. | | |
| payments to the registrated by any other entity for lobbying activities on behalf of the client). | Method A. Reporting amounts using 1.DA definitions only | | |
| | Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code | | |
| | Method C. Reporting amounts under section Internal Revenue Code | on 162(e) of the | |
| ignature Debra Metally Haves | | | |
| rinted Name and Title Debra M. Hardy Havens, CEO | | | |
| orn) LD-2 (Rev. 06/98) | P | AGE 1 of9_ | |

| Registrant Name <u>Capitot Associates, Inc.</u> Client Name | Joint Council of Asihma, Altergy and Immunole | <u> 181</u> |
|---|---|--------------------------------|
| LOBBYING ACTIVITY. Select as many codes as necessary to lobbying on behalf of the cliem during the reporting period. Using a Attach additional page(s) as needed. | | |
| 15. General issue area codeBUD (one per page) | | |
| 16. Specific lobbying issues | | |
| Funding for medical research, Asthma programs and a | needlestick prevention programs. | |
| HR 4577, Making appropriations for the Department of Agencies for fiscal year ending September 30, 2001. | of Labor, Health and Human Services, Education | and related |
| S. 2553, Making appropriations for the Department of Agencies for fiscal year ending September 30, 2001. | f Labor, Health and Human Services, Education | and related |
| H.R. 4461 and S. 2536 - Making appropriations for Agrand Related Agencies programs for the fiscal year entracked, monitored, reported consideration of legislatic | ding September 30, 2001, and for other purposes | |
| | | |
| 17. House(s) of Congress and Federal agencies contacted | Check if None | |
| House Senate | | |
| 18. Name of each individual who acted as a tobbyist in this issue are | a . s | |
| Name | Covered Official Position (if applicable) | New m |
| Marguerite Donoghue Baxter | | |
| Debra Hardy Havens | | 8 |
| Tricia Brooks | | |
| Matthew Williams | | |
| 19. Interest of each foreign entity in the specific issues listed on line | - | |
| | | |
| Signature | | |
| Pristed Name and Title Debra M. Hardy Havens, CEO | | ****************************** |
| Form LD-2 (Rev. 06/98) | PAGE _2_ | of <u>9_</u> |
| | | |

| lobbying on behalf of the client during the reporting period. Usin Attach additional page(s) as needed. | to reflect the general issue areas in which the registrate a separate page for each code, provide information | of engaged as request |
|---|--|--|
| 15. General issue area code HCR (one per page) | | |
| 16. Specific lobbying issues | | |
| S.573 - Medical Information Privacy and Security to the conduct of medical research and informed co. S. 578 - Health Care PIN Act. Tracked, monitored research and informed consent. S.881 - Medical Information Protection Act of 199 the conduct of medical research and informed cons. H.R. 1057 - Medical Information Privacy and Secretated to the conduct of medical research and info. H.R. 2404 -Personal Medical Information Protection related to the conduct of medical research and info. H.R. 2470 - Medical Information Protection and R reported on provisions related to the conduct of medical research and informed consent. H.R. 1941 - Health Information Privacy Act. Tr. conduct of medical research and informed consent. H.R. 2455 - Consumer Health and Research Techn reported on provisions related to the conduct of medical pri. Tracked and monitored rule-making process for m. S. 805. Children's Asthma Relief Act of 1999 - trac S. Amdt. 2286 to S. 1650, to increase funds for monitored, reported consideration of legislation. S. Amdt. 3708 to H.R. 4577, to increase funds for monitored, reported consideration of legislation. | onsent. I, and reported on provisions related to the conduction. G. Tracked, monitored, and reported on provision tent. Carrity Act. Tracked, monitored, and reported or med consent. In Act of 1999 - Tracked, monitored, and reported or med consent. Con | on provision provision provision provision intered, a chated to the intered, a chated media and Media con. |
| 17. Hoose(s) of Congress and Federal agencies contacted | Check if None | |
| House | | |
| Senate Department of Health and Human Services | | |
| 18. Name of each individual who acted as a lobbyist in this issue a | trea | <u>.</u> . |
| . Name | Covered Official Position (if applicable) | New |
| Debra M. Hardy Havens | | |
| Marguerite Donoghue Baxter | ; | |
| Tricia Brooks | | |
| ab 1992 at the field of the control | | - |
| 19. Interest of each foreign entity in the specific issues listed on the | ne 16 above M Check if None | |

| Registrant Nans | e Capitol Associates, Inc. | _Chont Name | Joint Council of Asshma, All | ergy and Immunolo | gy |
|--------------------------|---|--------------------------------------|--|--|----------------------------|
| Tobbying on bel | CTIVITY. Select as many codes as no haif of the client during the reporting per al page(s) as acceded. | ecessary to refli lod. Using a se | ect the general issue areas in w sparate page for each code, pr | shich the registrant ovide information as | engaged in s requested. |
| 15. General issu | te area code <u>MED</u> (one per page | :) | | | |
| 16. Specific lob | bying issues | | | | |
| Track | ed and reported on Congressional He | arings on sten | i cell research. | | |
| Met w | 798: Clinical Research Enhancement with sponsors of legislation to urge Co ed consideration of legislation. | | loor consideration of legislat | ion. Tracked, mor | nitored and |
| pre-co | Federal Research Investment Act- A impetitive engineering research increa ed, monitored, and reported, consider | ise to equal ap | proximately 2.6 percent of the | | |
| Track | ed and monitored role-making proces | s for latex me | dical gloves reclassification. | | |
| | ed and reported on Congressional Hea al research. | rings and Whit | te House and DHHS oversigh | t of gene therapy re | search and |
| 17. House(s) of | Congress and Pederal agencies contacted | j | Check if None | | |
| House Senate Depar | | | | | |
| 18. Name of car | ch individual who acted as a lobbyist in t | his issue area | | | |
| ··· Marguerite f | Name Donoghue Baxter | | Covered Official Position (| if applicable) | New D. |
| Tricia Brook | s | | | | |
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| | ach foreign entity in the specific issues !i | | | рек | keerseeeud |
| | | | | | |
| Printed Name a | nd Title Debra M. Hardy Havens, CFO | | | | |
| Fomi LD-2 (Re | v. 06/98) | | | PAGE 4 of | f <u>9</u> |

| Registrant Name <u>Capitol Associates Inc.</u> Client N | fame Joint Council of Asthma, Aliergy and Immunolo | <u>ogy</u> |
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| LOBBYING ACTIVITY. Select as many codes as necessary to lobbying on behalf of the client during the reporting period. Using Attach additional page(s) as needed. | reflect the general issue areas in which the registrant g a separate page for each code, provide information a | engaged in s requested. |
| 15. General issue area codeHCR(one per page) | | |
| 16. Specific lobbying issues | | |
| HR 4365, Chikkren's Health Act of 2000 - support as | stluna provisions. | |
| S. 2868, Children's Public Health Act of 2000 - supp | port astluna provisions. | |
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| 17. House(s) of Congress and Federal agencies contacted | Check if None | |
| House Senate | • | |
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| | | |
| 18. Name of each individual who acted as a lobbyist in this issue as | | |
| Name | Covered Official Position (if applicable) | New |
| Debra M. Hardy Havens | | |
| Matthew Williams | | 1 23 |
| | | |
| 19. Interest of each foreign entity in the specific issues listed on lin | e 16 above St Check if None | |
| | | |
| | | |
| Signature | | |
| Printed Name and Title <u>Debra M. Hardy Havens, CEO</u> | | |
| Form LD-2 (Rev. 06/98) | PAGE _S_ o | r <u>9</u> |
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| Registrant Name <u>Capitol Associates, Inc.</u> Client Na | ame <u>Joint Council of Asthma, Allergy and Immunol</u> | 927 |
|--|---|-------------------------------|
| LOBBYING ACTIVITY. Select as many codes as necessary to tobbying on behalf of the client during the reporting period. Using Attach additional page(s) as needed. | reflect the general issue areas in which the registran a separate page for each code, provide information | t engaged in as requested. |
| 15. General issue area code <u>LBR</u> (one per page) | • | |
| 16. Specific lobbying issues | | |
| Monitor, track and support legislation allowing physi- | cians to bargain collectively with health plans. | |
| HR 1304, Quality Health Care Coalition Act of 1999 | - Monitor, track and support. | |
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| 17. House(s) of Congress and Federal agencies contacted | Check if None | |
| House Senate | | |
| Senate | | |
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| 18. Name of each individual who acted as a lobbyist in this issue are | 128 | |
| Name | Covered Official Position (if applicable) | New |
| Debra M. Hardy Havens | | |
| Marthew Williams | | 1 25 |
| | | |
| 19. Interest of each foreign entity in the specific issues listed on line | 16 above 🖾 Check if None | |
| 15. MICHOS OF EACH TOTALS CHARY IN THE SPECIFIC ISSUES ILSEO ON THE | 10 doore 🚨 Check it None | |
| | | |
| | • | |
| Signature | - 1111 1111111111111111111111111111111 | |
| Printed Name and Title Debra M. Hardy Havens, CEO | | W |
| Form L.D-2 (Rev. 06/98) | PAGE <u>6</u> | of <u>9</u> |
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| LOBBYING ACTIVITY. Select as many codes as necessallobbying on behalf of the client during the reporting period. Unach additional page(s) as needed. | ry to reflect the general issue areas in which the registrar Using a separate page for each code, provide information | it engaged in as requested. |
| 15. General issue area code HCR (one por page) | | |
| 16. Specific lobbying issues | · | |
| Track, monitor, report on legislative activities re | plated to prevention of needlesticks | |
| S. 1140, Health Care Worker Needlestick Preven | ntion Act. | |
| HR 1899, Health Care Worker Needlestick Prev | ention Act, | |
| HR 4577, Social Security and Medicare Safe Dep Services and Education, and Related Agencies A | osit Box Act of 2000 and Departments of Labor, Health Appropriations Act 2001 | and Human |
| | | |
| | | |
| 7. Flouse(s) of Congress and Federal agencies contacted | Check if None | |
| House Senate | | |
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| 8. Name of each individual who acted as a lobbyist in this iss | iye area | |
| · | 1 | Nenw III |
| Name Follow M. Horado Havana | Covered Official Position (if applicable) | New 🔯 |
| Name Debra M. Hardy Havens | Covered Official Position (if applicable) | 1 |
| Name Forbor M. Gueda Housen | Covered Official Position (if applicable) | ⊠ ⊠ |
| Name Debra M. Hardy Havens Matthew Williams | Covered Official Position (if applicable) | 83 |
| Debra M. Hardy Havens | Covered Official Position (if applicable) | ⊠ ⊠ |
| Name Debra M. Hardy Havens Matthew Williams | Covered Official Position (if applicable) | ⊠ ⊠ |
| Name Debra M. Hardy Havens Matthew Williams | Covered Official Position (if applicable) | ⊠ ⊠ |
| Name Debra M. Hardy Havens Matthew Williams | Covered Official Position (if applicable) | ⊠ ⊠ |
| Name Debra M. Hardy Havens Matthew Williams 9. Interest of each foreign entity in the specific issues listed or | Covered Official Position (if applicable) | ⊠ ⊠ |

| Registrant Name Capitol Associates, Inc. Client No. | ame <u>Joint Council of Asthma, Allergy and Immuso</u> | logy |
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| LOBSYING ACTIVITY. Select as many codes as necessary to lobbying on behalf of the client during the reporting period. Using Attack additional page(s) as needed. | reflect the general issue areas in which the registran a separate page for each code, provide information | at engaged in as requested. |
| 15. General issue area code <u>MMM</u> (one per page) | • | |
| 16. Specific lobbying issues | | |
| Monitor and track Medicare reforms, and prescription | a drug benefit. | |
| HR 4680, Medicare Rx 2000 Act. | | |
| HR 4770. Medicare Guaranteed and Defined Rx Bene | efst and Health Provider Relief Act of 2000. | |
| S. 2807, Medicare Prescription Drug and Modernizat | ion Act of 2000. | |
| S. 2758, Medicare Outpatient Drug Act of 2000. | | |
| S. 2753, Medicare Expansion Plan | | |
| | | |
| 17. Flouse(s) of Congress and Federal agencies contacted | ☐ Check if None | |
| House Senate | | |
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| | | • |
| 18. Name of each individual who acted as a lobbyist in this issue are | ra | |
| Name | Covered Official Position (if applicable) | New |
| Debra M. Hardy Havens | ************************************** | 89 |
| Matthew Williams | | Ø |
| Marguerite Donoghue Baxter | | |
| | | |
| 19. Interest of each foreign entity in the specific issues listed on line | 16 above | |
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| | | |
| Signature | | |
| Printed Name and Title <u>Debra M. Hardy Havens, CEO</u> | | · |
| Form LD-2 (Rev. 06/98) | PAGE8 | of <u>9</u> |

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

LOBBYING REGISTRATION ADDENDUM

Lobbying Disclosure Act of 1995 (Section 5)

| Line# | Information to be included | | | |
|----------|---|---------------------------------------|--|--|
| 5. | HCR | | | |
| 6. | S. 1344 Patients' Bill of Rights Act of 1999- a bill to reform managed care. Tracked, monitored, reported, and advocated for reimbursement for clinical trials, access to speciality care provisions. HR 2990, Quality Care for the Uniosured Act of 1999. | | | |
| | | | | |
| | HR 2723, Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999. | | | |
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