Clerk of the House of Representatives - Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE 00 AUG |4 AM ||: 49

LOBBYING REPORT

. Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1'. Registrant Name:		
Caterpillar Inc.		
Address		
3. Principal Place of Business (if different from line 2) City: Peor1a State/2	ip (or Country) IL 61629-1430	
4. Contact Name Telephone	E-mail (optional)	5. Sénate ID#
Douglas F. Crew (309) 675-5248	crew douglas p@CAT.com	8475-12
7. Client Name 🍱 Self		6. House ID # 31319000
9. Check if this filing amends a previously filed version of this 10. Check if this is a Termination Report □ ⇔ Termination INCOME OR EXPENSES - Complete Either	Date	11. No Lebbying Activity
12. Lobbying Firms	13. Organiza	itiens
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying active	vities for this reporting
Less than \$10,000 🚨	Less than \$10,000	
\$10,000 or more	\$10,000 or more □ ⇒ \$ 220.0	***************************************
Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000,	14. REPORTING METHOD. Chec accounting method. See instructions i	enses (neares: \$20,000) ik box to indicate expense for description of options
of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying	Method A. Reporting amounts to	sing LDA definitions only
activities on behalf of the client).	Method B. Reporting amounts u Internal Revenue Co-	
	Method C. Reporting amounts a Internal Revenue Co	
Signature Now Dela		
Printed Name and Title Douglas P. Crew, Man	mager, Governmental Affairs	
LD-2 (REV. 6/98)		PAGE L of 15

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engaged in lobby	CTIVITY. Select as many codes as necessying on behalf of the client during the reportuested. Aftach additional page(s) as nee	sary to reflect the general issue areas in which the registrant riing period. Using a separate page for each code, provide ded.	
15. General issu	ac area code Tax (one per page)		
16. Specific lob	bying issues		
	on section of U.S. tax code.	00 WTO deadline to fix foreign ssles No specific legislation proposed	
17. House(s) of	Congress and Federal agencies contacted	☐ Check if None	
House of Secate Treasury	Representatives		
18. Name of ea	ch individual who acted as a lobbyist in th	is issue area	t i
	Name	Covered Official Position (if applicable)	New
		Covered Ottoms of Actions (1) Additional	
William N	- Ball	Washington Manager, Governmental Affairs	XXX
William N	- Balt		
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414-14-14-14-44-44-44-4-4-14-14-14-14-14		Washington Manager, Governmental Affairs	703
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		Vashington Manager, Governmental Affairs Affairs	
19. Interest of ea	ich foreign entity in the specific issues listed on	Vashington Manager, Governmental Affairs Affairs	

Registrant Name Caterpillar Inc. Client N	ameSelf	
LOBBYING ACTIVITY. Select as many codes as necessaring aged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ting period. Using a senarate name for each and amounts	
15. General issue area code (one per page)		
16. Specific lobbying issues		
Appropriations Bill for Interior and Rela relating to stationary power programs - S	sted Agencies (MR 4602) - provisions upport	
Utility Restructuring Bill (NR 2944) - Su	pport	
•		
17. House(s) of Congress and Federal agencies contacted	☐ Check if None	
Bouse of Representatives Senate FPA		
Department of Energy		
18. Name of each individual who acted as a lobbyist in thi	s issue area	
Name	Covered Official Position (if applicable)	New
Richard Brent	Covered Official Position (if applicable) Director of Government Affairs	New C
	Director of Government Affairs	
Richard Brent	Director of Government Affairs	o
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Richard Brent	Director of Government Affairs	
Richard Brent	Director of Government Affairs	
Richard Brent	Director of Government Affairs	
Richard Brent 19. Interest of each foreign entity in the specific issues listed on	Director of Government Affairs Line 16 above Cl Check if None	
Richard Brent 19. Interest of each foreign entity in the specific issues listed on Signature	Director of Government Affairs line 16 above	
Richard Brent 19. Interest of each foreign entity in the specific issues listed on	Director of Government Affairs line 16 above	

Registrant Name Caterpillar Inc. Client N	Jame_Self	
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repor information as requested. Attach additional page(s) as nece	rting period. Using a separate page for each code provide	
15. General issue area code TRD (one per page)	•	
16. Specific lobbying issues		
• •	n advocacy and business relations offices	
17. House(s) of Congress and Federal agencies contacted	Check if None	
Senate Department of Commerce Department of State Department of Energy		
18. Name of each individual who acted as a lobbyist in th	nis issue area	
Marroo	Covered Official Position (if applicable)	New
Richard Brent	Director of Government Affairs	Q
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19. Interest of each foreign entity in the specific issues listed of	na line 16 above Check if None	
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Registrant Name_	Caterpillar Inc.	Client Name	Self	
cukakeo in topo)	CTTVITY. Select as many codes a ying on behalf of the client during t equested. Attach additional page(s)	he reporting per	effect the general issue areas in whi riod. Using a separate page for eac	ch the registrant
15. General issu	te area code ENG (one per	rpage)		
16. Specific lob	bying issues			
	HR.2944: Electricity Re	structuring	; - Support	
				•
17. House(s) of	Congress and Federal agencies con	ntacted	Check if None	
	U.S. House of Representa U.S. Senate Department of Energy	ntives '		
	•			
18. Name of ea	ch individual who acted as a lobby	ist in this issue	arca	
	Name		Covered Official Position (if applicate	New (afe
	Rita L. Castle		ssues Analysis Manager	
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19. Interest of ea	sch foreign entity in the specific issues	listed on line 16	above Check if None	
Signature			Date	
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Registrant Name_	Caterpillar Inc. Chi	eqr Name Self	'
engaged in lobby	TIVITY. Select as many codes as ne ring on behalf of the client during the r quested. Attach additional page(s) as	cessary to reflect the general issue areas in which the registrant reporting period. Using a separate page for each code, provide needed.	: :
15. General issu	e area code <u>TOR</u> (one per pa	ge)	
16. Specific lob	bying issues		
	HR. 2005 - Workplace Goods S. 353 - Interstate Class	Job Growth and Competitiveness Act - Oppose Action Pairness Act - Support	
17. House(s) of	Congress and Federal agencies contact:		
	U.S. Senate Department of Justice Administration		
18. Name of ea	ich individual who acted as a lobbyist i	in this issue area	
	Name	Covered Official Position (if applicable)	New
	Rita L. Castle	Issues Analysis Manager	
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19. Interest of e	ach foreign entity in the specific issues list	ted on line 16 above	, ,
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Registrant Name_	Caterpillar Inc.	Client Name Self	
enRefer in 1000	CTIVITY, Select as many codes as ying on behalf of the client during the equested. Attach additional page(s)	necessary to reflect the general issue areas in which the registre reporting period. Using a separate page for each code, proving as needed.	ant ide
15. General issu	ue area code SCI (one per	page)	
16. Specific lot	abying issues		
	Department of Energy, OHVHDDE ProgramLDDE ProgramAdvanced Petroleum Base	T, Appropriations (FY 2001) Support	
17. House(s) or	f Congress and Federal agencies con	ntacted	
	U.S. House of Representat U.S. Senate Department of Energy	ives	
18. Name of ea	ach individual who acted as a lobbyi	st in this issue area	
	Name	Covered Official Position (if applicable)	New
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19. Interest of e	ach foreign entity in the specific issues i	fisted on line 16 above Check if None	-
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Registrant Name Caterpillar Inc. Client?	NameSelf	
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repo information as requested. Attach additional page(s) as nee	sary to reflect the general issue areas in which the registrant rting period. Using a separate page for each code, provide ded,	
15. General issue area code NAT (one per page)		
16. Specific lobbying issues		
Department of Interior/BIM/Mou Milleite Issues - Support	entain Top Mining Mining Reform/	
17. House(s) of Congress and Federal agencies contacted	Check if None	
•		
18. Name of each individual who acted as a lobbyist in th	is issue area	
Name	Covered Official Position (if applicable)	New
Rita L. Castle	Issues Analysis Manager	ם
William C. Lane	Washington Director - Governmental Affairs	O .
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19. Interest of each foreign entity in the specific issues listed or	n line 16 above Check if None	
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Registrant Name Caterpillar Inc. Client N	Anne Self	
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repor information as requested. Attach additional page(s) as need	ting period. Using a senarate page for each code provide	
15. General issue area code TRD (one per page)		
16. Specific lobbying issues		
Support Sanctions Process Reform (HR 124 Support Normal Trade Relations with Chin Support various bills to improve relatio Support Export Administration Act Support Normalized Trade Relations with Support Africa Growth and Opportunity Ac Support Funding for EX-IM Bank, OPIC TDA Oppose resolution for US to withdraw fro 17. House(s) of Congress and Federal agencies contacted House of Representatives Senate White House State Department Treasury Department Commerce Department USTR 18. Name of each individual who acted as a lobbyist in the	a (annual and permanent) ns with Cuba Vietnam t and World Bank 22 WIO Check if None	
Narre	Covered Official Position (if applicable)	New :
William C. Lanc	Washington Director, Governmental Affairs	ם
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19. Interest of each foreign entity in the specific issues listed on	n line 16 above	. 1
Signature		
Printed Name and Title	А	
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Registrant Name Caterpillar Inc. Client N	anne Self	_
LOBBYING ACTIVITY. Select as many codes as necession engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ting period. Using a separate page for each code provide	 .
15. General issue area code <u>DEF</u> (one per page)		
16. Specific lobbying issues		
Support Defense Appropriations (RR 4576	Dept. of Defense Appropriations Act)	
	·	•
17. House(s) of Congress and Federal agencies contacted House of Representatives	Check if None	
Senate		
18. Name of each individual who acted as a lobbyist in the	is issue area	
Name	Covered Official Position (if applicable)	New
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James P. Halloran	Washington Manager, Governmental Affairs	o l
James P. Halloran		
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Registrant Name	Caterpillar Inc.	Client Name Self	
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15. General issu	ie area code <u>IMM</u> (on	e per page)	
16. Specific lob	bying issues		
Support Support	American competitivenes the High-Tech Act (RR 3	s in the 21st Century Act (\$ 2045) 983)	
17. House(s) ai	Congress and Federal agencie	ss contacted —— Q-Check if None	
18. Name of ea	ach individual who acted as a le	obbyist in this issue area	
-	Name	Covered Official Pozition (if applicable)	New
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egistrant Name CATERPI	LLAR INC. Client	Name Self	
OBBYING ACTIVITY, Sel ngaged in loboying on behalf nformation as requested. Attac	of the client during the repo	isary to reflect the general issue areas in which the registrar orting period. Using a separate page for each code, provideded.	ıt İc
5. General issue area code	LBR (one per page)		
Support OSBA Reform Support OSBA Reform Support OSBA Reform Oppose Contract Deba Support Labor - MBS A	(B.R. 1427) Safety A (B.R. 1434, H.R. 143 (H.R. 987) Workplace	: Preservation Act Proposal Dealing with Federal Contract Award 77)	ទ
17. House(s) of Congress and U.S. House of Repres U.S. Senate Office of Federal Pr Office of Management Executive Office of	sentatives rocurement Policy t & Budget	Check if None	
18. Name of each individual v		his issue area Covered Official Position (16 applicable)	Nev
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James P. Halloran	ALMATTICITY , LABORITATION OF THE LOCAL DESIGNATION OF THE LOCAL DE	Washington Manager, Governmental Affairs	
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	118788 1 17—————— ILLUMINIFERINGEN INC.	**************************************	
19. Interest of each foreign entit	v in the specific issues listed :	na line 16 above	i —
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15. General	issue area code <u>ECR</u> (one per	page)	
16. Specific	c lobbying issues		
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17. House((s) of Congress and Federal agencies con U.S. House of Representat U.S. Senate		
	of each individual who acted as a lobby: Name James P. Halloran	Covered Official Position (if applicable) Washington Manager, Governmental Affairs.	
	Name	Covered Official Padition (if applicable)	
	Name	Covered Official Position (if applicable) Washington Manager, Governmental Affairs.	
	Name James F. Balloran	Covered Official Pesition (if applicable) Washington Manager, Governmental Affairs.	
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	Name James F. Halloran	Covered Official Pesition (if applicable) Washington Manager, Governmental Affairs.	
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	Name James F. Halloran	Covered Official Pedition (if applicable) Washington Manager, Governmental Affairs	
	James P. Balloran I of each foreign entity in the specific issues	Covered Official Pedition (if applicable) Washington Manager, Governmental Affairs. Sisted on line 16 above	

Registrant Name_	Caterpillar Inc.	Client Name Self	
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15. General iss	sue area code TRD (or	ne per page)	
16. Specific to	bbying issues		
Support	China PNTR		
17. House(s) o	of Congress and Federal agenci	ies contacted	
Senate	f Representatives		
White State De	ouse épartment		
Treasury	y Department e Department	·	
	each individual who acted as a	lobbyist in this issue area	
	Name	Covered Official Position (if applicable)	New
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15. General issu	ue area code HCR (or	one per page)	
16. Specific lob	obying issues		
	R 2723 Bipartisan Conse S 1344 Patients' Bill o	ensus Managed Care Improvement Act of 1999 of Rights Act of 1999	
17. House(s) o	f Congress and Federal agenci	ies contacted	
House Senate			
ls. Name of c	ach individual who acted as a l	1	1
	Narre	Covered Official Position (if applicable)	E74
Lisa H. Y	roung	Communications Representative) ,
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19. Interest of	each foreign entity in the specific	issues listed on line 16 above Check if None	
Signature	In Plans	Date August 10 good	_
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