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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Sagamore Associates			
2. Address ☐ Check if different than previously reported 805 15th Street, NW Suite 700 Washington, DC 20005		USA	
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Margaret Walker	Telephone 202-312-7400	E-mail (optional)	5. Senate ID # 34158-125
7. Client Name ☐ Self Chase Manhattan Corporation	6. House ID # 30124002		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐	Less than \$10,000 ☐
\$10,000 or more ☒ >> \$ <u>540,000.00</u> Income (nearest \$20,000)	\$10,000 or more ☐ >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.
	☐ Method A. Reporting amounts using LDA definitions only
	☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
	☐ Method C. Reporting amounts under section 162(c) of the Internal Revenue Code

Signature Frank Swain
Printed Name and Title **Frank Swain - Senior Vice President**

Date 2/14/01

Page 1 of 6

Registrant Name: Sagamore Associates

Client Name: Chase Manhattan Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific Lobbying issues
Administration of USDA payments

17. House(s) of Congress and Federal agencies contacted
Department of Agriculture
Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Swain, Frank		No

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 2/14/01

Printed Name and Title Frank Swain - Senior Vice President

Page 2 of 6

Registrant Name: Sagamore Associates

Client Name: Chase Manhattan Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

Federal Loan Asset Sales, Federal Financial Management Initiatives

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Swain, Frank</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Date 2/14/01

Printed Name and Title Frank Swain - Senior Vice President

Page 3 of 6

Registrant Name: Sagamore Associates

Client Name: Chase Manhattan Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOI (one per page)

16. Specific Lobbying issues
HUD financial administration

17. House(s) of Congress and Federal agencies contacted
Department of Housing & Urban Development
House of Representatives

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Swain, Frank</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 2/14/01

Printed Name and Title Frank Swain - Senior Vice President Page 4 of 6

Registrant Name: Sagamore Associates

Client Name: Chase Manhattan Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SMR (one per page)

16. Specific Lobbying issues
Financial management initiative

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate
Small Business Administration

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Swain, Frank</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 2/14/01

Printed Name and Title Frank Swain - Senior Vice President Page 5 of 6

Registrant Name: Sagamore Associates

Client Name: Chase Manhattan Corporation

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client
Weller, Mark

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain
BUD

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	Ownership % in client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, client, or affiliated organization

Signature Frank S. Swain (new)

Date 2/14/01

Printed Name and Title Frank Swain - Senior Vice President

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