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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration \_\_\_\_\_  
 2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

## REGISTRANT

3. Registrant name Ryan Phillips Utrecht + MacKinnon  
 Address 1133 Connecticut Ave. N.W. Suite 300  
 City Washington State DC Zip 20036  
 4. Principal place of business (if different from line 3)  
 City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
 5. Telephone number and contact name  
202 293-1177 Contact Rodney Hoppe E-mail (optional) \_\_\_\_\_  
 6. General description of registrant's business or activities  
Legislative Consulting

**CLIENT** A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self

7. Client name DUKE Energy Corp  
 Address 401 Ninth Street NW Suite 1100  
 City Washington DC State DC Zip 2004  
 8. Principal place of business (if different from line 7)  
 City Charlotte State/Zip (or Country) North Carolina  
 9. General description of client's business or activities  
Energy Provider

## LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
<u>Joe Vasapoli</u>	
<u>Jeff MacKinnon</u>	
<u>Tam Ryan</u>	
<u>Rodney Hoppe</u>	
<u>Bill Phillips</u>	
<u>MARK PLANNIN</u>	

Form LB-1 (Rev. 06/98)

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Registrant Name Ryan, Phillips, et al Client Name Duke Energy Corp

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

ENG UTE

12. Specific lobbying issues (current and anticipated)

CALIFORNIA ENERGY CRISIS

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

No -> Go to line 14.

Yes -> Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No -> Sign and date the registration.

Yes -> Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature Rodney C. Hoppe Date 3/26/2001

Printed Name and Title Rodney C. Hoppe, Legislative Director