

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

00020220640

SECRETARY OF THE

LOBBYING REPORT

AUG - 1 1

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York Life Insurance Company			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 1501 K Street, NW, Suite 575, Washington, DC 20005			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) New York 10010			
4. Contact Name Ronald Lefrancois		Telephone 202-654-2940	E-mail (optional)
7. Client Name <input checked="" type="checkbox"/> Self			5. Senate ID # 29174-24
			6. House ID # 31217000

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇨ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇨ \$ 1,140,000.
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate the accounting method. See instructions for description of each method.

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033(c) Internal Revenue Code

Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name -----

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

HR 2341 & S 1712 class action reform

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Moore Hamrick	
Ronald Lefrancois	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi:
engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pr
information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

financial records privacy issues

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Moore Hamrick	
Ronald Lefrancois	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 661 & S 992 regarding add-on tax on mutual insurers

HR 831 & S 627 tax deductibility of long term care insurance premium

HR 4551 corporate owned life insurance

tax treatment of estate assets and lifetime annuity payments

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Jessie Colgate</u>	
<u>Mary Moore Hamrick</u>	
<u>Ronald Lefrancois</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi:
engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pr
information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

HJRes 51 Vietnam bilateral trade agreement
Trade Promotion Authority

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate
U.S. Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
..... Jessie Colgate
..... Mary Moore Hamrick
..... Ronald Lefrancois
..... Sandra Kristoff
..... Raymond Sander
.....	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

5. General issue area code HCR (one per page)

6. Specific lobbying issues

medical information privacy

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mary Moore Hamrick</u>
<u>Ronald Lefrancois</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name -----

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pr information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

HR 10 pension reform.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mary Moore Hamrick</u>	
<u>Ronald Lefrancois</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name New York Life Insurance Co Client Name WTT

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pr information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues
federal regulation of insurance

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mary Moore Hamrick</u>	
<u>Ronald Lefrancois</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Ronald Lefrancois* Date 8/1/02

Printed Name and Title Ronald Lefrancois, Gov't Affairs VP

