

THE UNIVERSITY OF CHICAGO
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CHICAGO - ILLINOIS 60637

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Office of Legal Counsel

August 10, 2000

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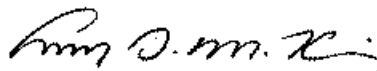
Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

RE: Senate ID #39360-12

Dear Secretary:

Enclosed pursuant to the Lobbying Disclosure Act of 1995 is the
Midyear Lobbying Report (January 1-June 30, 2000) for the University of
Chicago.

Sincerely,



Amy S. M. Kim
Assistant General Counsel

Enclosures

Clerk of the House of Representatives
 Legislative Resource Center
 B-106 Cannon Building
 Washington, DC 20515

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510

RECEIVED
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The University of Chicago			
2. Address <input type="checkbox"/> Check if different than previously reported 5801 South Ellis Avenue			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) IL 60637			
4. Contact Name Arthur M. Sussman		Telephone (773) 702-7241	5. Senate ID # 39360-12
7. Client Name <input checked="" type="checkbox"/> Self		E-mail (optional)	6. House ID # 30151000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇔ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>80,000.00</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Arthur M. Sussman

Printed Name and Title Arthur M. Sussman, General Counsel and Vice President of Administration

Registrant Name The University of Chicago Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)


26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature  Date August 10, 2000

Printed Name and Title Arthur M. Sussman, General Counsel and Vice President of Administration

Form LD-1 (Rev. 6/98)

Page ___ of ___

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Arthur M. Sussman Date August 10, 2000

Printed Name and Title Arthur M. Sussman, General Counsel and Vice President of Administration

BUD

Discussions with peer research universities regarding:

- Strategies for advocating for scientific research
- Implications of President's FY 2001 budget for academic medical centers
- Funding issues for the Javits Fellowship Program and National Science Foundation (NSF) graduate research fellowship program
- Legislative, regulatory and budgetary issues affecting space science
- Funding cuts to the Federal Library Depository Program in the House FY 2001 appropriations bill
- Preparation of letters to House Energy and Water Appropriations Subcommittee and House Armed Services Committee regarding funding for the Accelerated Strategic Computer Initiative (ASCI) program
- FY 2001 budget issue for NSF

Meetings with peer research universities regarding:

- President's FY 2001 budget proposal and affects on research universities
- Prospects for 2001 student financial aid budgets and regulations and science agency budgets
- Preparation for Senate Government Affairs Committee hearings on tuition and financial aid issues
- Coordination of federal and local budget initiatives related to research and education
- Status of 2001 budget for the National Institutes of Health (NIH)
- Department of Energy (DOE), Department of Defense (DOD), National Aeronautics and Space Administration (NASA) and NSF budget for FY 2001
- Status of House and Senate FY 2001 appropriations bills as they affect academic medical centers, the NIH and Department of Education student financial aid programs
- Status report on FY 2001 appropriations for the National Endowment for the Humanities (NEH)
- Funding in FY 2001 budget for the Veterans Administration, Housing and Urban Development and independent agencies
- New Medicare Outpatient Prospective Payment System

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Discussion with House staffer regarding Javits Fellowship Program funding

Letter to Senator regarding support for increase in maximum Pell Grant award

Meeting with NASA employees regarding FY 2001 funding and relationship with universities

Meetings with House staffers regarding funding for space science in the FY 2001 NASA budget

Meeting with University and Argonne National Laboratory (ANL) personnel regarding advocacy

Preparation of letters to Senator and Congressman regarding FY 2001 funding for NIH, NSF, and NASA

Meetings with University personnel regarding response to NASA white paper on NASA-university relationships

Discussion with Senate staffer regarding funding Federal Library Depository Program

Discussions with peer research universities and University and ANL personnel regarding budget cut to DOE's ASCI

Letters to Senators and Congressmen regarding proposed cuts to the ASCI program

Meeting with Senate and House staffers regarding proposed cuts to ASCI program

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Meetings with peer research universities regarding:

- . Technology transfer issues for universities
- . Database legislation (HR 354 and HR 1858)

Meeting with NIH official regarding patents, technology transfer, research tools and gene patenting

Discussion with peer research universities regarding database legislation (HR 354 and HR 1858)

17. House(s) of Congress and Federal agencies contacted Check if None

NIH

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
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		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

EDU

Meetings with peer research universities regarding:

- Financing of graduate medical education and alternatives to current funding through Medicare
- Possible interaction with presidential candidates regarding education and research
- Legislative avenues to aid universities' community service activities
- Legislative and administrative issues related to graduate education
- Strategy for the FY 2001 budget for NEH
- Problems with Department of Education regulations for the GEAR-UP program
- Immigration and Naturalization Service's (INS) new tracking and fee proposal for foreign students, researchers and visitors
- Traineeships vs. research assistantships as NIH funding mechanisms
- Budget priorities for the NSF
- Preparation for meetings with Senators and Congressmen on NEH funding
- FY 2001 budgets and regulations on student financial aid
- Preparation for Senate Government Affairs Committee hearings on tuition and financial aid
- Database legislation (HR 354 and HR 1858)
- NSF graduate education programs
- Coordination of federal and local budget initiatives
- Status of House and Senate FY 2001 appropriations bills as they affect academic medical centers, the NIH and Department of Education student financial aid programs
- Status report on FY 2001 appropriations for the NEH
- Status of HR 4227, HR 3983, and S 2045 related to lifting the H-1B visa cap
- Regulations implementing the GEAR-UP Program
- White House memorandum concerning international education

Discussions with Senate staffers regarding S2045 related to raising the cap on H-1B visas

Discussion with House staffer regarding Javits Fellowship Program funding

Drafting comments to the Department of Education on the proposed regulations for the GEAR-UP program

Meetings with University personnel regarding GEAR-UP program regulations

Discussions with Senate staffer regarding tuition and financial aid hearings by the Committee on Governmental Affairs

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Discussions with Senate staffers regarding issues related to the INS, foreign students and scholars

Discussions with peer research universities regarding:

- Proposed regulations for the GEAR-UP program
- Implementation of Javits Fellowship Program
- Funding issues for the Javits Fellowship Program and NSF graduate research fellowship program
- Proposed INS regulation requiring universities to collect fees from foreign students and scholars
- Fire safety legislation
- S 2045 and HR 3983, related to raising the cap on H-1B visas
- Database protection legislation
- Funding for the Federal Library Depository Program

Letter to Senator regarding support for increase in maximum Pell Grant awards

Meetings with University personnel regarding implementation of the student voter registration requirements under the Higher Education Act

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Meetings with peer research universities regarding:

- . Financing of graduate medical education and alternatives to current funding through Medicare
- . New regulations on Medical Privacy
- . NIH management of funds in FY 2000 appropriation bill

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

IMM

Meetings with peer research universities regarding:

- Problems with INS regulations related to foreign students, researchers, and visitors
- Preparation of comments on INS proposed rules regarding collection of fees under PL 104-208
- HR 3983, HR 4227 and S2045 to lift the cap on H-1B immigrant visas

Discussions with peer research universities regarding:

- Comments on proposed INS regulations requiring universities to collect a fee from foreign students and scholars
- HR 3983, HR 4227 and S 2045 to lift the cap on H-1B immigrant visas

Preparation of comments on INS proposed rules regarding collection of fees under PL 104-208

Discussions with House and Senate staffers regarding proposed INS regulations requiring universities to collect a fee from foreign students and scholars

Discussions with Senate staffers regarding support of S 2045

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

NIH

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

MED

Meetings with peer research universities regarding:

- Financing of graduate medical education and alternatives to current funding through Medicare
- New regulations on medical privacy
- Fetal cell, stem cell and gene therapy research
- Emerging medical safety legislative and regulatory issues
- Legislation for research and development tax credits for clinical trials
- Issues related to clinical research including human subjects, gene therapy, institutional review boards (IRB), enforcement by Office of Protection from Research Risks and the Food and Drug Administration
- NIH compliance visits to universities
- Status of FY 2001 budget for NIH
- Impact of commercialization on university-based research
- Session with NIH official on patents, technology transfer, research tools, and gene patenting
- S 2015 to provide funding for research using human embryonic stem cells
- New Medicare Outpatient Prospective Payment System
- S 2394 and HR 4239 – Teaching Hospital Preservation Act of 2000
- Various bills to prevent further cuts in Medicaid Disproportionate Share Hospitals payments

Discussions with peer research universities regarding implications of president's FY 2001 budget for academic medical centers

Registrant Name The University of Chicago Client Name SELF

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MM (one per page)

16. Specific lobbying issues

Meetings with peer research universities regarding:

- . Financing of graduate medical education and alternatives to current funding through Medicare
- . S 2394 and HR 4239 - Teaching Hospitals Preservation Act of 2000
- . Various bills to prevent further cuts in Medicaid Disproportionate Share Hospitals payments

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

SCI

Meetings with peer research universities regarding:

- Possible interaction with presidential candidates on issues related to education and research
- Technology transfer issues for universities
- New regulations on medical privacy
- NIH management of funds in FY 2000 appropriation bill
- Fetal cell, stem cell and gene therapy research
- Emerging medical safety legislative and regulatory issues
- Traineeships v. research assistantships as NIH funding mechanisms
- Budget priorities for the NSF
- Prospects for 2001 science agency budgets
- NSF graduate education programs
- NIH compliance visits to universities
- House and Senate legislation to fund research facilities
- Impact of commercialization of university-based research
- FY 2001 budget for NSF, NASA, DOD and DOE
- Status of DOD research programs
- DOE's Scientific Discovery through Advanced Computer Initiative
- S 2336 to promote information technology research in DOE
- Problems with NASA and Department of State interpretations of the International Traffic in Arms Regulation (ITAR)
- Status of House and Senate FY 2001 appropriations bills as they affect academic medical centers, the NIH and Department of Education student financial aid programs
- Funding for research using human embryonic stem cells
- Implications for NSF and NASA of the low FY 2001 budget allocations for House and Senate appropriations committees on Veterans Administration, Housing and Urban Development and independent agencies
- Coordination of federal and local budget initiatives related to research and education

Discussions with peer research universities regarding:

- Strategies for advocating for scientific research
- Interpretations of ITAR and Export Administration Regulation and affect on foreign graduate students working on NASA grants and contracts
- Problems in the FY 2001 budget proposal for NSF graduate research fellowship programs and the Javits Fellowship Program
- Legislative, regulatory and budgetary issues affecting space science
- Database protection legislation (HR 1858)
- Support of Lofgren amendment to the Defense Authorization bill that would alleviate space scientists' problems with ITAR
- Proposed cuts to ASCI program
- Preparation of letters to House Energy and Water Appropriations Subcommittee and House Armed Services Committee regarding funding for ASCI program

Meetings with University personnel regarding coordination of federal and local budget initiatives related to research and education

Meeting with University personnel regarding S 2162 and HR 3906 as they relate to ANL

Meeting with NASA employees regarding FY 2001 funding and relationship with universities

Meetings with House staffers regarding funding for space science in the FY 2001 NASA budget

Meeting with University and ANL personnel regarding advocacy

Preparation of letters to Senator and Congressman regarding FY 2001 funding for NIH, NSF, and NASA

Discussions with House staffers regarding Lofgren amendment to the Defense Authorization bill that would alleviate space scientists' problems with ITAR

Meetings with University personnel regarding response to NASA white paper on NASA-university relationships
Meeting with University personnel regarding problems for space scientists posed by ITAR
Meetings with Senate and House Staffers regarding proposed cuts to ASCI program
Discussions with peer research universities and University and ANL personnel regarding budget cut to DOE's ASCI

8b

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- Meetings with peer research universities regarding:
- . IRA charitable rollover, pension reform, employer provided educational benefits and internet taxation legislation
 - . Legislation for research and development tax credits for clinical trials
- Discussion with peer research universities regarding possible legislation to stimulate investment by university employees in urban housing in certain census tracts that meet low-income criteria

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Deborah L. Hyatt		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____