

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ZURICH INSURANCE CO, U.S. BRANCH

2. Address:

1201 F STREET NW SUITE 250, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

City: SCHAUMBURG State/Zip(or Country): IL 60196

4. Contact Name: FRANCIS D. BOUCHARD

Telephone: 202-585-3100

E-mail (optional): francis.bouchard@zurichna.com

Senate ID #: 42193-12

House ID #: 30715000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,140,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Energy and Water appropriations bills, provision pertaining to a line item for the Delta Wetlands.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ECN (one per page)

16. Specific lobbying issues:

H.R. 850, Security & Freedom through Encryption Act, provisions pertaining to a more secure method of doing business over the internet. H.R. 1714, Electronic Signature in Global and National Commerce Act, provisions allowing for a "digital signature". S. 761, Millenium Digital Commerce Act, provisions allowing for a "digital signature". S. 798, PROTECT Act, provisions pertaining to a more secure method of doing business over the internet. S. 2448, Internet Integrity and Critical Infrastructure Protection Act of 2000, provisions pertaining to protection of nonpublic personal information by financial institutions.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.
Covered Official Position (if applicable): N/A
Name: LIEBER, MICHELE E.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

H.R. 1300, Recycle America's Land Act, Provisions pertaining to environmental liability, the superfund tax, brownfields. S. 1090, the Superfund Program Completion Act, provisions pertaining to environmental liability, the Superfund tax, brownfields. S. 2700, Brownsfields Revitalization and Environmental Restoration Act of 2000.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

H.R. 4541, S. 2697 -CFTC Reauthorization, provisions pertaining to futures and securities exchanges. H.R. 1089, Mutual Fund Tax Awareness Act, provisions dealing with after tax mutual fund returns. S. 2107, Competitive Markets Supervision Act, provisions dealing with fees and securities Market Enhancement. H.R. 4723, to amend the Int. Revenue Code to provide exclusion from gross income certain capital gains distributions from regulated investment companies.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

H.R. 1219, Construction Industry Payment Protection Act, H.R. 1954, Rental Fairness Act, provisions pertaining to auto rental agency licensing and vicarious liability. H.R. 2723, Patients Protection Bill, Managed Care Bill. H.R. 4049, The Hutchinson-Moran Privacy Commission Bill, provisions pertaining to financial institutions. H.R. 4585, Medical Financial Privacy Protection Act, provisions pertaining to financial institutions. S. 1924, Financial Information Privacy and Security Act. S. 1903, Consumers' Right to Financial Privacy Act. S. 2360, Freedom from Behavior Profiling Act of 2000, provisions relating to social security number usage.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

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15. General issue area code: RET (one per page)

16. Specific lobbying issues:

H.R. 1102, Comprehensive Retirement Security and Pension Act, provisions pertaining to pension reform, expanding pension availability and increasing personal savings. H.R. 4747, Retirement Security Advice Act of 2000, provisions pertaining to investment advice. H.R. 4748, Comprehensive ERISA Modernization Act of 2000, provisions pertaining to ERISA reform and investment advice. H.R. 833, Bankruptcy Reform Act 2000, provisions pertaining to retirement assets retained in the event of bankruptcy.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

H.R. 1102, Comprehensive Retirement Security and Pension Reform Act, provisions pertaining to pension reform, expanding pension availability and increasing personal savings. H.R. 4192, bill to increase taxes on certain foreign insurance companies. H.R. 2614, Comprehensive tax bill.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

H.R. 1875, Interstate Class Action Jurisdiction Act, provisions pertaining to federal jurisdiction over class action cases. S. 353, Class Action Fairness Act, provisions pertaining to federal jurisdiction over class action cases. H.R. 1283, Fairness in Asbestos Compensation Act of 1999, provisions pertaining to resolution of personal injury claims arising out of asbestos exposure. S. 758, Fairness in Asbestos Compensation Act of 1999, provisions pertaining to resolution of personal injury claims arising out of asbestos exposure.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOUCHARD, FRANCIS D.

Covered Official Position (if applicable): N/A

Name: LIEBER, MICHELE E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2001

Printed Name and Title: FRANCIS D. BOUCHARD - SENIOR VICE PRESIDENT AND DIRECTOR OF FEDERAL AFFA