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Legislative Resource Center  
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Washington, DC 20515

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Office of Public Records  
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Washington, DC 20510

SECRETARY OF THE SENATE

03 FEB 14 PM 2:42

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 400 Collins Road NE, Cedar Rapids, IA 52498 -0001			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name M.K. McDonald	Telephone (703)516-8230	E-mail (optional)	5. Senate ID # 68296-36
7. Client Name <input type="checkbox"/> Self Rockwell Automation			6. House ID # 35671001

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbyin

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>112,500.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this re period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indica accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA defir</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 1 Internal Revenue Code</p>
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Signature

*M. K. McDonald*

Signature \_\_\_\_\_

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

LD-2 (REV. 6/98)

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CHM (one per page)

16. Specific lobbying issues

Chemical Demilitarization Treaty  
Defense Authorization and Appropriations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature \_\_\_\_\_ Date 02/11/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations  
 Patent Office Operations  
 Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature \_\_\_\_\_

Date 02/11/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget  
Renewable Energy & Conservation Programs  
Transportation Programs – F-CAR

17. House(s) of Congress and Federal agencies contacts

☐

Check if None

U.S. House of Representatives

U.S. Senate

Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if Non

Signature \_\_\_\_\_

Date 02/11/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations





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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform

Waste Remediation —Recycling

Trade &amp; Environment

Clean Water Act national effluent limitations guidelines and pretreatment standards for wastewater discharge products and machinery facilities.

MP&amp;M Rule (EPA Proposal)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)

Medical Confidentiality

Genetic Discrimination

Prescription Drug Benefits

Stock Options / Stock Purchase

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility  
Spousal Work Permits  
H1-B Visas  
B-Visa Reforms

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Trade Representative

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code LBR (one per page)

16. Specific lobbying issues

National Labor Relations Board Reform  
 Fair Labor Standards Act Reform  
 Pay Equity  
 Ergonomic Regulations  
 Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
 U.S. Senate  
 Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform

Social Security Reform

Prescription Drug Program

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Export Controls  
 Renewal of Export Administration Act  
 Trade Agreements and Treaties  
 Trade Financing  
 Sanctions

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
 U.S. Senate  
 The White House  
 United States Trade Representative  
 Department of State  
 Department of Commerce  
 Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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