

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY

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00000462369

**LOBBYING REGISTRATION**

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an amended registration  1. Effective Date of Registration: January 21, 2004  
 2. House Identification Number 33563- Senate Identification Number 15042-

**REGISTRANT**3. Registrant name Foley & LardnerAddress 3000 K Street, NW, Suite 500City Washington State D.C. Zip 20007

4. Principal place of business (if different from line 3)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

5. Telephone number and contact name

(202) \_\_\_\_\_ Contact \_\_\_\_\_

6. General description of registrant's business or activities Law Firm

**CLIENT** A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lo  
 should check the box labeled "Self" and proceed to line 10.  Self

7. Client name Bear Wagner, LLCAddress 40 Wall Street, 42<sup>nd</sup> floorCity New York State NY Zip 10005

8. Principal place of business (if different from line 7)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

9. General description of client's business or activities: Financial services**LOBBYISTS**

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any pe  
 this section has served as a "covered executive branch official" or "covered legislative branch official" within two years  
 acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicabl
Theodore Bornstein	N/A
Scott Klug	N/A
John Stirrup	N/A
Irwin Rajj	



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Registrant Name: Foley & Lardner Client Name: Bear Wagner, LLC

**LOBBYING ISSUES**

11. General lobbying issue areas. Select applicable codes listed in instructions and on the reverse side of Form LD-1, page 1

FIN \_\_\_\_\_

12. Specific lobbying issues (current and anticipated)

SEC trade through rule and other SEC related matters

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in period and in whole or in major part plans, supervises, or controls the registrant's lobbying activities?

No  $\Rightarrow$  Go to line 14.  Yes  $\Downarrow$  Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal place of business (city and state or county)
None		

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No  $\Rightarrow$  Sign and date the registration.  Yes  $\Downarrow$  Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or county)	Amount of contribution for lobbying activities	Ownership per in client
None				

Signature  Date: February 9, 2009

Printed Name and Title: Theodore H. Bornstein, Attorney

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