

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

SECRET
01 AUG

1. Registrant Name New York Bankers Association			
2. Address <input type="checkbox"/> Check if different than previously reported 99 Park Avenue, 4th Floor, New York, New York 10016-1502			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Roberta Kotkin	Telephone 212-297-1684	E-mail (optional) rkotkin@nyba.com	5. Senate ID 29163-
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID 314320

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-1)
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**12. Lobbying Firms**

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 \$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this period were:

Less than \$10,000 \$10,000 or more ⇒ \$ 60,000
Expenses (nearest \$)**14. REPORTING METHOD.** Check box to indicate accounting method. See instructions for descriptive Method A. Reporting amounts using LDA de Method B. Reporting amounts under section Internal Revenue Code Method C. Reporting amounts under section Internal Revenue Code

Signature _____

Printed Name and Title _____

LD-2 (REV. 6/98)



Registrant Name New York Bankers Association Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues Implementing regulations under the Gramm-Leach-Bliley Act privacy, merchant banking, real estate brokerage & management; Bankruptcy Reform (S. H.R.333); Federal budget and tax legislation (S.605, H.R.1220, H.R.1263, S.936, H.R.1263); cost home loan legislation & regulation (H.R.4250, S.2415, S.2405); regulatory burden reduction legislation (S.576, H.R.3611, H.R.5640); Deposit Insurance Reform legislation (S.128, S. H.R.746, H.R.1293, H.R. 1355, H.R. 1899); Interest on Business Checking Accounts (S. H.R.974); Interest on Sterile Reserves legislation (H.R.974); Small Business Administration Reauthorization Legislation (H.R.4577); Regulations implementing the affiliate share provisions of the Fair Credit Reporting Act; guidelines on holocaust assets research; Securities Reform Legislation (H.R.1088, S.143); Pension Reform legislation, H.R.10; Regulations on international trust activities, trust taxation and Farm Credit System lending.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives
Federal Reserve Board
Federal Deposit Insurance Corporation
Internal Revenue Service

Office of Thrift Supervision
Office of the Comptroller of the Currency
Presidential Advisory Commission on Holocaust Assets in the United States
Farm Credit System

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
William J. Bosies, Jr.	
Roberta Kotkin	
Karen Jannetty	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Roberta Kotkin Date 8/8/01

Printed Name and Title Roberta Kotkin, General Counsel & Chief Administrative Officer

