

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE

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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name <b>American Bankers Association Securities Assn (ABASA)</b>			
2. Address <input type="checkbox"/> Check if different than previously reported			
1120 Connecticut Avenue, NW			
Washington	DC	20036	US.
3. Principal place of business (if different than line 2)			
City		State/Zip or Country	
4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Mrs. Sarah A. Miller	(202) 663-5325	smiller@aba.com	44468-000
7. Client Name <input checked="" type="checkbox"/> Self	American Bankers Association Securities Assn (ABASA)		6. House ID #
			3407700

**TYPE OF REPORT** 8. Year 2005 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Acti

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>40,000</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of opti</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions o</p> <p><input checked="" type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8 Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of I Revenue Code</p>
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[Edit Form](#)

Signature *Sarah A. Miller* Date 2/16/06

Printed Name and Title Sarah A. Miller, General Counsel

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Registrant Name American Bankers Association Securities Assn  
(ABASA)

Client Name American Bankers Association Securities  
(ABASA)

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securities (one per page)

16. Specific lobbying issues

Implementing regulations for Public Law no. 106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 - Title II, Subtitle A - Brokers and Dealers  
Implementing regulations for Public Law no. 107-56: USA Patriot Act of 2001, Title III - Money Laundering Abatement  
S. 5, the Class Action Fairness Act of 2005, all provisions  
S. 219, National Employee Savings and Trust Equity Guarantee Act of 2005, all provisions (next page)

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate, Department of Treasury, House of Representatives, Federal Reserve Board, Office of the Comptroller of Currency, Federal Deposit Insurance Corporation, Office of Thrift Supervision, National Association of Securities Dealers, Municipal Securities Rulemaking Board, Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
J. Kevin A. McKechnie	
James D. McLaughlin	
Sarah A. Miller	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Sarah A. Miller* Date 2/10/06  
Printed Name and Title Sarah A. Miller, General Counsel

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Registrant Name American Bankers Association Securities Assn (ABASA)

Client Name American Bankers Association Securitie (ABASA)

**ADDENDUM for General Lobbying Issue Area FIN**

16. Specific lobbying issues (continued from previous page)

- S. 256, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Title IX Financial Contract Pro
- S. 418, Military Personnel Financial Services Protection Act, all provisions
- S. 467, Terrorism Risk Insurance Extension Act of 2005, all provisions
- S. 714, Junk Fax Prevention Act of 2005, all provisions
- S. 1037, Mutual Fund Transparency Act of 2005, all provisions
- H.R. 458, Military Personnel Financial Services Protection Act of 2005, all provisions
- H.R. 461, Military Personnel Financial Services Protection Act
- H.R. 685, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Title IX Financial Contract Provisions
- H.R. 1077, Realtime Investor Protection Act, all provisions
- H.R. 1153, Terrorism Insurance Backstop Extension Act of 2005, all provisions
- H.R. 1638, Commodity Exchange Improvements Act of 2005
- H.R. 4473, CFTC Reauthorization Act of 2005
- H.R. 4618, Compliance, Examinations and Inspections Restructuring Act of 2005, all provisions
- Implementing FTC Telemarketing Sales Rule, 16 CFR Part 310, FTC File No. R411001, provisions relating legitimate exceptions under the Rule

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Add page to continue specific issues description for this



Registrant Name American Bankers Association Securities Assn (ABASA) Client Name American Bankers Association Securities (ABASA)

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different than line 20)

City State/Zip

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

James D. McLaughlin

**ISSUE UPDATE**

24. General lobbying issues that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Own percentage of client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, or affiliated organization

Signature  Date 2/10/06

Printed Name and Title Sarah A. Miller, General Counsel

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