

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Alcatel USA Marketing			
2. Address <input type="checkbox"/> Check if different than previously reported 1909 K St. NW, Suite 800, Washington, DC 20006			
3. Principal Place of Business (if different from line 2) 3400 West Plano Parkway, Plano TX 75075 City: State/zip (or Country)			
4. Contact Name Paul Kenefick	Telephone (202) 715-3707	E-mail (optional)	5. Senate ID # 46734-12
7. Client Name <input checked="" type="checkbox"/> Self same			6. House ID # 34357000

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_

11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this report period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>100,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of op</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature [Signature] Date 11/11/11

Printed Name and Title Paul Kenefick, Senior Regulatory Affairs Counsel

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific lobbying issues

General: Spectrum issues; Internet growth and development legislation; Critical infrastructure issues; Telecom Act of 1996 implementation issues; privacy and encryption export, broadband access deployment, e-commerce/Internet acces privacy regulation; wireless infrastructure issues;

Specific: CALEA-Law Enforcement, Spectrum Trust Fund (HR 1320); FY2004 Omnibus Appropriations; NIST Funding; RUS Broadband Funding; Number Portability (S1221/HR1216); E911 Implementation (HR2898/S1250), Rights-of-Way (HR762); Voice-over-Internet-Protocol (S2281/HR4129);


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US Department of State, US Department of Defense, US Department of Agriculture, The White House, US House of Representatives, US Senate, US Department of Commerce, National Telecommunications and Information Administration (NTIA), Federal Communications Commission - FCC Proceedings CC Docket No. 01-338, 96-98, 98-147, 96-45, 02-33, 95-20, 98-10.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	
John Cutts	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 8/2/2004

Printed Name and Title Paul Kenefick, Senior Regulatory Affairs Counsel

Form LD-2 (Rec. 4/03)

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Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which I engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Defense Authorization Legislation (HR4200/S2400)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	
John Cutts	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

*De W. Owen*

Date

8/2/2004

Printed Name and Title Paul Kenefick, Senior Regulatory Affairs Counsel

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Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Stock Option Legislation (S1890/HR3574/S979)


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 8/2/2004

Printed Name and Title Paul Kenefick, Senior Regulatory Affairs Counsel

Form LD-2 (Rev. 4/03)

Page 5

Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Immigration Issues (HR2702/HR1322/S1635)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 8/2/2004



Registrant Name Alcatel USA Marketing Client Name same

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

FSC/ETI Tax Reform (HR4550/S1637),  
Broadband Tax Credit (HR267/HR768/S905),  
Broadband Expensing Provisions (S1637/S160/HR769),  
Research and Experimentation Tax Credit (HR463/S664),  
Internet Tax Moratorium Extension (HR49/S150);

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	
John Cutts	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date

8/2/2004



Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code ECN (one per page)

16. Specific lobbying issues

1. Policies that promote foreign direct investment into the United States
2. Economic Sanctions Law (Senate Amendment 3151)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	
John Cutts	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 8/2/2004

Printed Name and Title Paul Kenefick, Senior Regulatory Affairs Counsel

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Registrant Name Alcatel USA Marketing Client Name same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t  
engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c  
information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Federal Procurement Policy (Senate Amendment 2660)


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David W. Owen	
Paul Kenefick	
Donald Erickson	
John Cutts	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date 8/2/2004



Registrant Name Alcatel USA Marketing Client Name same

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
Alcatel Government Solutions	45195 Business Ct., Suite 150 Sterling, VA 20166	Washington, DC

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
Alcatel, SA	54 Rue La Boetie	75008 Paris - France	\$0.00

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

Signature  Date 8/2/2004

