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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page 4 PM 2:00

1. Registrant Name Sun Microsystems, Inc.			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1300 Eye Street, N.W. Suite 420 East City Washington State/Zip (or Country) DC 20005			
3. Principal Place of Business (if different from line 2) City Palo Alto State/Zip (or Country) CA 94303			
4. Contact Name Lowell Sachs		Telephone (202) 326-7521	E-mail (optional) lowell.sachs@east.sun.com
5. Senate ID # 37323-12			
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 30574000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$440,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 603 of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 of the Internal Revenue Code
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Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific Lobbying issues

H.R.237, Consumer Internet Privacy Enhancement Act,

S.1055, Privacy Act of 2001,

Discussions on preparation of a bill to address on-line and off-line privacy.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Federal Trade Commission

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Hankin, Chris	
Sachs, Lowell	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Date 2/14/2002

Printed Name and Title **Lowell Sachs - Manager of Federal Affairs**

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific Lobbying issues

H.R.1, No Child Left Behind Act of 2001,

**Educating the government on the benefits of using technology to help expand educational opportunities for
Working with the government to promote digital opportunity for all Americans.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Sachs, Lowell	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code ENG (one per page)

16. Specific Lobbying issues

H.R. 4 Securing America's Future Energy Act of 2001.

Executive Order: Energy efficient standby power devices.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Energy

Executive Office of the President

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Smith, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

Education on competition, consumer choice and trends in the computer industry.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Justice

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Hankin, Chris	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific Lobbying issues

**H.R.2435, Cyber Security Information Act,
H.R.3162, Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and C
Terrorism (USA PATRIOT ACT) Act of 2001,
H.R.3394, Cyber Security Research and Development Act,
S.1456, Critical Infrastructure Information Security Act of 2001,
Promote a legislative change to permit and encourage federal use of consortia created standards in procure
Promote further steps to increase Cybersecurity.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Commerce
Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Hankin, Chris	
Sachs, Lowell	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.1410, Internet Tax Moratorium and Equity Act,

H.R.41, To amend the Internal Revenue Code of 1986 to permanently extend the research credit and to incr of the alternative incremental credit.,

S.288, Internet Tax Nondiscrimination Act,

S.41, A bill to amend the Internal Revenue Code of 1986 to permanently extend the research credit and to in rates of the alternative incremental credit.,

S.512, Internet Tax Moratorium and Equity Act,

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Hankin, Chris	
Smith, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues

**H.J.RES.50, Disapproving the extension of the waiver authority contained in section 402(c) of the Trade Act
respect to the People's Republic of China,
H.R.1553, To repeal export controls on high performance computers.,
H.R.2149, Trade Promotion Authority Act of 2001,
H.R.2581, Export Administration Act of 2001,
H.R.2586, National Defense Authorization Act for Fiscal Year 2002,
S.1155, National Defense Authorizing Act for Fiscal Year 2002,
S.149, Export Administration Act of 2001,**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Commerce
Department of Defense
Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Hankin, Chris	
Smith, Robert	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2002

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

Item	Description	Data
16	Lobbying Issues	Export control reform for computers. Efforts to amend the Export Administration Act. Trade relations with China. Educate policymakers on technology trends in the high technology industry their impact on export control policy.

[REDACTED]

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

HCR

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or cot

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registra
or affiliated organization

Signature _____

Date 2/14/2002

Printed Name and Title

Lowell Sachs - Manager of Federal Affairs

[REDACTED]