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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|  |                            |                   |                            |
|--|----------------------------|-------------------|----------------------------|
| 1. Registrant Name<br>Rockwell Collins, Inc.   |                            |                   |                            |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>400 Collins Road NE, Cedar Rapids, IA 52498 -0001 |                            |                   |                            |
| 3. Principal Place of Business (if different from line 2)<br>City: _____ State/Zip (or Country) _____                                |                            |                   |                            |
| 4. Contact Name<br>M.K. McDonald   | Telephone<br>(703)516-8230 | E-mail (optional) | 5. Senate ID #<br>68296-36 |
| 7. Client Name <input type="checkbox"/> Self<br>Rockwell Automation  |                            |                   | 6. House ID #<br>35671001  |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-December) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying. ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

|   |   |
|---|---|
| <p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>112,500.00</u><br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p> |
|---|---|

Signature 

Printed Name and Title Michael K. McDonald, Vice President Government Operations

LD-2 (REV. 6/98)

P:

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Accounting Reforms

Federal Accounting Standards Board

Corporate Accounting/Governance

Securities and Exchange Commission Reporting and Compliance

Stock Option Accounting

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if None

Signature \_\_\_\_\_

Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations  
 Patent Office Operations  
 Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Linda C. Sadler     |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature \_\_\_\_\_

Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code.** Provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

National Defense Authorization and Appropriations  
 Budget Requests submitted by the Department of Defense  
 Federal Government Acquisition Policy  
 Office of Management and Budget (Office of Federal Procurement Policy)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha Cogdell      |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if None

Signature \_\_\_\_\_

Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations





Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget  
Renewable Energy & Conservation Programs  
DOE - Transportation Programs – F-CAR  
DOE - Superconductivity

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/9/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the following information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform  
 Waste Remediation —Recycling  
 Trade & Environment  
 Clean Water Act  
 Clean Air Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
 U.S. Senate  
 Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)

Medical Malpractice Reform

Mental Health Parity

Medical Errors

Health Care Information - Infrastructure

Genetic Discrimination

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if None

Signature \_\_\_\_\_

Date 02/09/2004

Printed Name and Title Michael R. McDonald, Vice President, Government Operations

Form LD-2 (Rev. 6/98)

Page

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility

Visa Process/Procedures Reform

H1-B Visas

B-Visa Reforms

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

U.S. Trade Representative

State Department DHS

Department of State

Department of Commerce

8. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if None

Signature \_\_\_\_\_

Date 02/09/2004





Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automatio

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t  
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c**  
information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Genetic Discrimination

Overtime Pay

Comp-time

Fair Labor Standards Act Reform

Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform  
Social Security Reform  
Pension Plan funding

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Export Controls  
 Renewal of Export Administration Act  
 Trade Agreements and Treaties  
 Trade Financing  
 Sanctions  
 Tariffs  
 International Standards

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives  
 U.S. Senate  
 The White House  
 United States Trade Representative  
 Department of State  
 Department of Commerce  
 Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| Martha L. Cogdell   |   |
| Michael K. McDonald |   |
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|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature \_\_\_\_\_ Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

